



DEP E REF
ROM 307
#22

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Hideyoshi HORIMAI

ATTN:

Refund Section
Accounting Div.
Office of Finance

Application No.: 09/601,702

Docket No.: 106357

Filed: August 4, 2000

For: APPARATUS AND METHOD FOR RECORDING OPTICAL INFORMATION,
APPARATUS AND METHOD FOR REPRODUCING OPTICAL INFORMATION,
APPARATUS FOR REPRODUCING OPTICAL INFORMATION, AND OPTICAL
INFORMATION RECORDING MEDIUM

REQUEST FOR REFUND TO DEPOSIT ACCOUNT

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

On October 10, an Amendment was filed that included four independent claims over three, and ten dependent claims over twenty. Thus, an extra claim fee of \$516.00 (\$336.00 + \$180.00) for a large entity was due and debited from Deposit Account No. 15-0461. However, this application was filed with a small entity statement which was recognized by the U.S. Patent and Trademark Office in the August 21, 2000 Notification of Acceptance of Application (copy enclosed). Thus, the extra claim fee should have been \$258.00 for a small entity. Therefore, an overpayment of \$258 (\$516 - \$258) was made.

A Petition for a Second Month Extension of Time was filed with the October 10

Amendment together with a check for \$400.00 for large entity (copy of check enclosed) instead of

\$200 for a small entity resulting in an overpayment of \$200. Thus, a total payment of \$916 (\$516 +

\$400) was made, instead of \$458 (\$258 + \$200) that was due. Accordingly, Applicant respectfully

requests refund of \$458.00 (\$916 - \$458) to be credited to the Deposit Account No. 15-0461.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Paul Tsou

Registration No. 37,956

JAO:PXT/ale

Date: December 13, 2002

Enclosures:

Copy of Notification of Acceptance

Copy of Check No. 155301 (\$400.00)

168.00 CH

OLIFF & BERRIDGE, PLC

P.O. Box 19928

Alexandria, Virginia 22320

Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE
AUTHORIZATION**

Please grant any extension
necessary for entry;

Charge any fee due to our
Deposit Account No. 15-0461

pln. Ref: 04/16/2003 JHURPHY 00000002 09601702

FC:150461 Name/Inventor: 09601702

FC:9204

FC:11/2002 GGBREGS 00000001 150461 09601702

FC:1252

04/16/2003 JHURPHY 00000002 09601702

01 FC:2252 200.00 OP

Adjustment date: 04/16/2003 JHURPHY

1/18/2002 PERITTON 00000001 150461 09601702

0 FC:1201 336.00 CR

0 FC:1202 180.00 CR

09/601702



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

09/601 702

HORIMAI

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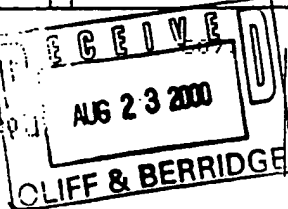
106357

U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

CLIFF & BERRIDGE
P O BOX 19928
ALEXANDRIA VA 22320



INTERNATIONAL APPLICATION NO. 99/00896

LA. FILING DATE

PRIORITY DATE

02/26/99

02/27/98

DATE MAILED

08/21/00

**NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371
AND 37 CFR 1.494 OR 1.495**

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is **ACCEPTED** for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

04 AUG 2000
35 U.S.C. 102(e) DATE

04 AUG 2000
DATE OF RECEIPT OF
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☒ A request for immediate examination under 35 U.S.C. 371(f) was received on 04 AUG 2000 and the application will be examined in turn.

4. The following items have been received:

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.
☐ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US.

☒ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.

The Article 19 amendments ☐ have ☐ have not been entered.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).

☐ Translation of Annexes to the IPER into English.

The Annexes ☐ have ☐ have not been entered.

☒ Preliminary amendment(s) filed 04 AUG 2000 and _____

☒ Information Disclosure Statement(s) filed _____ and _____

☒ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____

☒ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Vonda M. Wallace
Paralegal Specialist

Telephone: 703 305-3136

FORM PCT/DO/EO/903 (December 1997)

Deposit Account Statement

Requested Statement Month:

October 2002

Deposit Account Number:

150461

Name:

OLIFF & BERRIDGE P L C

Attention:

BARBARA WATTS

Address:

277 SOUTH WASHINGTON STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
10/18	1	09601702	106357	1201	\$336.00
10/18	2	09601702	106357	1202	\$180.00

2800

RAM Fee History Query

Revenue Accounting and Management

Name/Number: 09601702

Total Records Found: 9

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
08/09/2000	00000069	1	<u>581</u>	\$40.00	08/04/2000	CK
08/09/2000	00000067	1	<u>965</u>	\$507.00	08/04/2000	CK
08/09/2000	00000068	1	<u>967</u>	\$270.00	08/04/2000	CK
08/09/2000	00000066	1	<u>971</u>	\$420.00	08/04/2000	CK
10/11/2002	00000194	1	<u>1252</u>	\$400.00	10/10/2002	CK
10/11/2002	00000195	1	<u>1806</u>	\$180.00	10/10/2002	CK
10/18/2002	00000001	1	<u>1201</u>	\$336.00	10/10/2002	DA 150461
10/18/2002	00000002	1	<u>1202</u>	\$180.00	10/10/2002	DA 150461
02/12/2003	00000009	1	<u>1806</u>	\$180.00	02/10/2003	CK

small fee Please see
Refund letter
and processes

4/8/03

Lisa

This file is still on the
refund list check this one
out

Thanks
MARCUSS